

PART VIII APPLICATION FORMS

FORM CBK IF 1-1

APPLICATION FOR A LICENCE TO CONDUCT THE BUSINESS OF AN INSTITUTION

- 1. Type of Business applied for
- 2. Name of Institution
- 3. Physical Address of Head Office: L.R. No.....
Street Building.....
- 4. Postal Address and Postal Code.....
Telephone No..... P.I.N. No.....
- 5. Date and Country of Incorporation.....
- 6. Names of branch offices and the number of years each has been established and has conducted or carried on business
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- 7. Former name(s) by which the institution has been known
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- 8. Particulars of shareholding

Present & Former Name	Designation	Nationality	Age	Academic/ Professional Qualifications & Year obtained	Details of Previous Employment	Date of Appointment

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9. Particulars of Officers:

a) Directors

Present & Former Name	Nationality	Address	Other Directorship	Date of Appointment

b) Chief Operating Officers

Present & Former Name	Designation	Nationality	Age	Academic/ Professional Qualifications & Year obtained	Details of Previous Employment	Date of Appointment

10. Names of Bankers and their Address

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11. Does the institution hold, or has it ever held any authority from a supervisory body to carry on any business activity in Kenya or elsewhere?

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Prudential Guidelines for Institutions

If so, give particulars. If any such authority has been revoked, give particulars
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12. Has the institution been put under receivership in the past or made any compromise or arrangement with its creditors in the past or otherwise failed to satisfy creditors in full? If so, give particulars
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13. Is an inspector or other authorised officer of any government ministry, department or agency, professional association or other regulatory body investigating or has such an investigation ever previously taken place into the affairs of the institution?
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14. Has the institution been refused entry in Kenya or elsewhere to any professional body or trade association concerned with banking or financial activities or decided not to apply for entry after making an approach? If so, give particulars.....
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15. Is the institution engaged or does it expect to be engaged in Kenya or elsewhere in any litigation which may have a material effect on the resources of the Institution?..... if so, give particulars
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16. Is the institution engaged or does it expect to be engaged in any business relationship with any of its officers or shareholders? If so, give particulars.....
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17. DECLARATION

We, the undersigned, being officers of the institution, declare that to the best of our knowledge and belief, the information contained herein is complete and accurate.

Director (Name)

Signature Date

Director (Name).....

Signature Date